

NIH RPPR Training Webinar for Grantees

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Office of Policy for Extramural Research Administration
National Institutes of Health

 
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Speakers



**Emily Linde
and
Carol Wigglesworth**



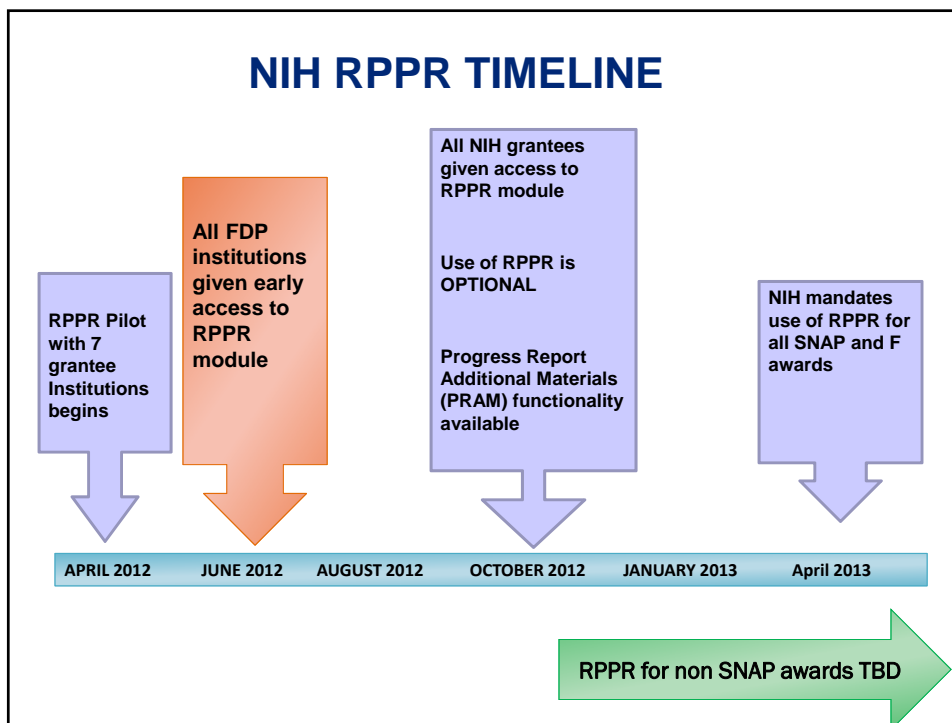
**Grants Policy Analysts
Office of Policy for Extramural Research Administration
National Institutes of Health**

Research Performance Progress Report Background

- **Research Business Models Subcommittee effort, began 2004**
- **OMB RPPR Final Format**
 - April 2010 directive to agencies to implement
 - Standardizes information required by agencies
 - Reduces administrative burden and costs
 - Eases comparison of outcomes across agencies
- **NIH Implementation Plan**
 - Electronic format only
 - Replaces PHS 2590, including use of eSNAP module, and 416-9 for Fellowships
 - AHRQ, FDA and CDC partners will adopt

3

NIH RPPR TIMELINE



7 Types of RPPR: Examples of Differences	
R01-like	<ul style="list-style-type: none"> •Standard RPG •Basis for development of other types
Individual CDA	<ul style="list-style-type: none"> •Mentor report •RCR reporting
Training	<ul style="list-style-type: none"> •Trainee Diversity Report •RCR reporting •Tables 12A/B & program statistics, if applicable
Fellowship	<ul style="list-style-type: none"> •Sponsor comments •RCR reporting
Education (e.g., R13, R15, T36)	<ul style="list-style-type: none"> •No reporting on technology or invention products, resource sharing, impact on infrastructure, tech transfer
SBIR/STTR	<ul style="list-style-type: none"> •Report on technology transfer, product development status, and commercialization plan
Complex	<ul style="list-style-type: none"> •Parent reporting similar to R01-like •Project/core (s) reporting on accomplishments, technologies, products, resource sharing, publications, hESCs, human subjects

RPPRs Available Now	
	Activity Codes
R01-like SNAPs	D71, DP1, DP5, G08, G11, G13, P40, R00, R01, R03, R18, R21, R33, R34, R36, R37, R56, RC1, RC2, RL1, S10, S21, S22, SC1, SC2, SC3, UB1, UC2, UH1, UH2, UH3, UP5, P01, P20, P30, P40, P41, P50, PL1, R24, S11, U01, U10, U19, U24, U34, U54
Individual K SNAPs	K01, K02, K05, K06, K07, K08, K18, K22, K23, K24, K25, K26, K99, KL1
Education SNAPs	D43, K30, R13, R25, RL5, T14, T36, U13, U2R
Fellowships	F05, F30, F31, F32, F33, F34, F37
SBIR/STTR SNAPs	R41, R42, R43, R44, U43, U44

Research Performance Progress Report (RPPR) – Windows Internet Explorer

http://grants.nih.gov/grants/rppr/index.htm

U.S. Department of Health & Human Services

Office of Extramural Research
National Institutes of Health

Home About Grants Funding **Forms & Deadlines** Grants Policy News & Events About OER NIH Home

Research Performance Progress Report (RPPR)

Forms & Deadlines

- Forms & Applications
- Due Dates & Submission Policies
- Submitting Your Application

Global OER Resources

- Glossary & Acronyms
- Frequently Used Links
- Questions Asked

On This Page:

- [Implementation of the RPPR](#)
- [Points of Contact](#)
- [Grantee Access to the RPPR](#)
- [Background](#)
- [Resources](#)

Progress reports are required annually to document grantee accomplishments and compliance with terms of award. They describe scientific progress, identify significant changes, report on personnel, and describe plans for the subsequent budget period or year.

The Office of Management and Budget (OMB) has mandated that federal agencies implement a federal-wide research performance progress report (RPPR) for submission of required annual or other interim performance reporting on research grant and cooperative agreement awards to standardize recipient reporting on federally-funded research projects.

Implementation of the RPPR

All NIH grantees will have the option to use the RPPR for most Streamlined Non-competing Award Process (SNAP) and Fellowship progress reports beginning 10/19/2012. The use of the RPPR will not be required at this time and grantees may continue to use eSNAP or paper submissions as appropriate. A full list of activity codes for which grantees will be able to submit RPPRs as of 10/19/2012 appears below:

	Activity Codes
R01-like SNAPs	D71, DP1, DP5, G08, G11, G13, P40, R00, R01, R03, R18, R21, R33, R34, R36, R37, R56, RC1, RC2, RL1, S10, S21, S22, SC1, SC2, SC3, UB1, UC2, UH1, UH2, UH3, UP5

Related Items

- [RPPR Page](#)
- [Guide Notice NOT-OD-12-142 \(08/23/2012\)](#)
- [Guide Notice NOT-OD-12-083 \(03/09/2012\)](#)
- [RPPR: Pilot and FDP Early Access](#)
- [Progress Reports Intranet \(NIH Only\)](#)

RPPR Structure

- A. Cover Page
- B. Accomplishments
- C. Products
- D. Participants
- E. Impact
- F. Changes
- G. Special (NIH) Reporting Requirements
- H. Budget – SF424 (R&R) forms

LIVE DEMO OF RPPR in eRA Commons

9

Are there publications or manuscripts accepted for publication in a journal or other publication (e.g., book, one-time publication, monograph) during the reporting period resulting directly from this award? Yes No

If yes, select from the table below to affiliate publications with this progress report. (7)

All publications associated with this project in My NCBI		
Associate with this RPPR	NIH Public Access Compliance	Citation
<input checked="" type="checkbox"/>	Complete	Metlay JP. Observed association between antidepressant use and pneumonia risk was confounded by comorbidity
<input checked="" type="checkbox"/>	Not Applicable Not Peer Reviewed	Smith J, Doe J. Process of Abstracting Simple Notes for Cancer Research. 2011 Jan 31; 123(4): 56-78. PMID: PMC1234567.
<input checked="" type="checkbox"/>	In Progress	Hennessy S, Bilker WB, Leonard CE, Chittams J, Palumbo CM, Karwiah JH, Yang YX, Lautenbach E, Baine WB, Metlay JP. Observed association between antidepressant use and pneumonia risk was confounded by comorbidity measures. J Clin Epidemiol. 2007 Sep; 60(9): 911-9. PMID: 11689807. PMID: PMC2042508.
<input checked="" type="checkbox"/>	Non-Compliant	Meniam NA, Pult ME, Metz DC, Yang YX. Hip fracture risk in patients with a diagnosis of pernicious anaemia. Gastroenterology. 2010 Apr; 138(4): 1330-7. PMID: 20026065. PMID: PMC2954457.

Sort Table Above By Then By
 Ascending Descending Ascending Descending

(+) Show publications from My NCBI (-) Hide publications from My NCBI (7)

New RPPR

Publications not associated with this project in My NCBI		
Associate with this RPPR	NIH Public Access Compliance	Citation
<input type="checkbox"/>	Complete	Metlay JP. Observed association between antidepressant use and pneumonia risk was confounded by comorbidity
<input type="checkbox"/>	Not Applicable Not Peer Reviewed	Smith J, Doe J. Process of Abstracting Simple Notes for Cancer Research. 2011 Jan 31; 123(4): 56-78. PMID: PMC1234567.
<input type="checkbox"/>	In Progress	Hennessy S, Bilker WB, Leonard CE, Chittams J, Palumbo CM, Karwiah JH, Yang YX, Lautenbach E, Baine WB, Metlay JP. Observed association between antidepressant use and pneumonia risk was confounded by comorbidity measures. J Clin Epidemiol. 2007 Sep; 60(9): 911-9. PMID: 11689807. PMID: PMC2042508.
<input type="checkbox"/>	Non-Compliant	Meniam NA, Pult ME, Metz DC, Yang YX. Hip fracture risk in patients with a diagnosis of pernicious anaemia. Gastroenterology. 2010 Apr; 138(4): 1330-7. PMID: 20026065. PMID: PMC2954457.

Sort Table Above By Then By
 Ascending Descending Ascending Descending

Publications previously reported for this project (7)		
NIH Public Access Compliance	Citation	
Complete	Metlay JP. Observed association between antidepressant use and pneumonia risk was confounded by comorbidity	
Not Applicable Not Peer Reviewed	Smith J, Doe J. Process of Abstracting Simple Notes for Cancer Research. 2011 Jan 31; 123(4): 56-78. PMID: PMC1234567.	
In Progress	Hennessy S, Bilker WB, Leonard CE, Chittams J, Palumbo CM, Karwiah JH, Yang YX, Lautenbach E, Baine WB, Metlay JP. Observed association between antidepressant use and pneumonia risk was confounded by comorbidity measures. J Clin Epidemiol. 2007 Sep; 60(9): 911-9. PMID: 11689807. PMID: PMC2042508.	

Dynamic Display

R01-like award

G.2 Not Applicable

G.3 Not Applicable

G.4 Human Subjects

G.4.a Does the project involve human subjects? ? Yes No

11

Dynamic Display

Fellowship Award

or upload me(s) Add Attachment

G.2 Responsible Conduct of Research

Describe the responsible conduct of research instruction received (or instruction given as a course director, discussion leader, etc., in the case of senior fellows or senior ca
informal means, during this reporting period. If instruction or participation as a course director/discussion leader occurred in a prior budget period, note the dates of occurren
individualize instruction appropriate to career stage should be discussed. Address the five components: Format, Subject Matter, Faculty Participation, Duration, and Freque
on this requirement is found in the competing application instructions.

Nothing to Report

or upload Response Add Attachment Delete Attachment View Attachment

G.3 Sponsor Comments

Provide a letter signed by the sponsor, in PDF format, assessing the quality of the research training (including academic work) and research progress made by the Fellow d

Upload PDF Add Attachment Delete Attachment View Attachment

G.4 Human Subjects

12

Progress Report Additional Materials - PRAM

Status Result - List of Applications/Grants

Notes & Tips:

- Important:** The NIH provides the JT (Just in Time) link in the Commons for applications receiving a percentile of less than 30 or for applications receiving a priority score of between 10 and 80 if no percentile is provided. Please await instructions from the NIH on whether to complete this information.

The following list of applications/grants represents a result of the search by Grants.gov Tracking # or a complete list of all your applications/grants. If you do not see a complete list of your applications/grants, please click **List of Applications/Grants** menu tab again.

Application ID	Grants.gov Tracking #	Proposal Title	PD/PI Name	eSubmission Status	Current Application Status	Status Date	Action
5K23HD123456-03		A New Model for the Deliver of Well-Child Care	JEFFERSON, THOMAS		Pending	09/30/2012	RPPR PRAM
ZDPLCA654321-04 (MFI)	GRANT00123456	Crime & Punishment and the Effects on Mental Health	JEFFERSON, THOMAS	Submission Complete	Pending	09/26/2012	Transmittal Sheet
AN1234567	GRANT00234567	The Red Badge of Courage and Other Skin Disorders	JEFFERSON, THOMAS	Submission Complete	Application has been entered into computer	08/22/2012	Transmittal Sheet

1-100 of 108

13

PRAM

Progress Report Additional Materials (PRAM)

Grant Information

Grant Number: 5K23HD123456-03
PD/PI Name: JEFFERSON, THOMAS
Project Title: A New Model for the Delivery of Well-Child Care
Institution: PRESIDENTIAL UNIVERSITY
Status: PD/PI Work in Progress
Current Reviewer: JEFFERSON, THOMAS

Public Access Compliance

Provide verification that all publications are in compliance with the [NIH Public Access Policy](#).

- Verify that the PD/PI has used My NCBI to enter publications and/or update compliance status.
- For papers published more than three months ago, provide the full citation and PMID.
- For papers in press or published less than three months ago, for which a PMID is not available, report the full citation and the NLM/DOI or report PMC Journal-in-Process. Please note the submission process must be completed within three months of publication to be compliant.
- If the publication does not fall under the Policy, provide a brief explanation and confirm that the My NCBI NIA status has been corrected.
- If unable to provide verification, provide a justification for why the publication(s) cannot be brought into compliance. (Limit is 2000 characters or approximately 1 page).

This is a sample of text entered in response to noncompliant publications submitted as part of the RPPR...

Total remaining allowed limit is 1894 characters.

14

Summary of PRAM

- **Link opened automatically when RPPR submitted with non-compliant publications**
- **Access PRAM link from Status Screen**
- **Allows for text entry of 2,000 characters**
- **Route and submit functionality are identical to functionality for RPPR**
 - **Certification text when forwarding or submitting**
 - **Must be submitted by SO or PD/PI with submit authority**

15

Summary of New Information Requested

- **Competitive revisions/administrative supplements**
 - **Specific location to report aims & accomplishments (B.3)**
- **Foreign Collaborations:**
 - **Individual affiliation with foreign organization (D.1)**
 - **Dollar amount of award spent in foreign country (E.4)**
 - **Information about foreign components (G.9)**
- **Participants – role on project**
 - **New role-High School Student; slight rewording of other roles (D.1)**
- **Level of Effort**
 - **Prior approval request for reduction of >25% for PD/PI or other individual designated on NoA (D.2.a)**
- **Estimated unobligated balance**
 - **Provide estimated amount and description of how funds will be spent (G.10)**

16

Points to Remember

- Grantees are encouraged to use and become familiar with the RPPR
- Once initiated, the format selected (RPPR or eSNAP) must be completed or user must contact eRA HelpDesk to change format
- System checks for errors prior to submission; user cannot submit with errors
- Only warning: publications non-compliant with Public Access Policy
 - system will generate eNotification
 - response to eNotification may via PRAM link

17

Points to Remember (continued)

- Special characters not permitted in text boxes
 - will not display properly in final PDF
- Disregard items marked “Not Applicable”
- Round person months to nearest whole number
- Follow instructions, e.g., only report publications under C.1 Publications
- Fellows are often unfamiliar with eRA Commons modules and MyNCBI

18

Information and Resources

RPPR Page: <http://grants.nih.gov/grants/rppr/>

- Background Information
- RPPR Instruction Guide
- Screen shots
- Archive of this training webinar
- Relevant Guide Notices
- Learn how the RPPR differs from eSNAP

19

RPPR Contacts

- **System Issues**
 - eRA Help Desk
 - 1-866-504-9552
 - commons@od.nih.gov
- **Content Questions or Comments**
 - Email Emily Linde and Carol Wigglesworth
 - lindee@mail.nih.gov
 - wigglesc@od.nih.gov

20